

## **Overview**

Carbon Collective Investing LLC (“Carbon Collective”; “the Firm”) has created and implemented a Business Continuity Plan (“BCP”) in an effort to mitigate the effects related to unforeseen business interruptions. The BCP is designed to enable the Firm to continue or resume advisory services as soon as possible in the event of an emergency or significant business disruption (“SBD”).

## **Communications with Customers**

Carbon Collective is currently able to communicate with its customers using the telephone, email, US Mail, website, and in person. In the event of an SBD, Carbon Collective will assess which means of communication are still available and use the means closest in speed to the means used in the past.

## **Vendors and Counterparties**

Carbon Collective’s BCP may need to rely upon the recovery and restoration of services provided by various critical business constituents at both its primary and alternate business locations. Carbon Collective has considered the extent to which the Firm may be able to depend upon these business relationships during an SBD as a part of its decision to do business with the constituent. Where possible the Firm has engaged and/or vetted alternate arrangements in order to avoid business disruptions in the case of a business constituent not being able to provide needed goods or services in an emergency.

## **Localized SBD**

All client accounts are held by the Custodian “Altruist Financial LLC” (“Altruist”) with account rebalancing occurring automatically through Altruist’s software platform. In the event of a SBD that primarily affects the Firm, due to this level of account management automation, the nearterm client experience should not be significantly impacted. Should the Firm’s two officers become unable to operate the Firm and serve its clients, one of the company’s board members and lead investor, Ethan Batraski, will find a suitable RIA firm to take on and manage the Firm’s accounts. Clients will be notified in writing should this occur and explicitly be given the opportunity to end their agreement with Carbon Collective, should they wish to do so.

## **Disclosure**

Carbon Collective's BCP is designed to address the continued operation of the Firm in the event of an emergency situation or SBD. However, all risks of business interruption cannot be eliminated and Carbon Collective cannot guarantee that systems will always be available or recoverable following an SBD. Furthermore, Carbon Collective has no control over and must rely upon the disaster recovery plans of its various critical business constituents and vendors. In the event that material changes are made to this BCP disclosure statement, Carbon Collective will make available an updated copy to all of its clients.

The information contained in this disclosure is provided by Carbon Collective for informational purposes only, and nothing contained herein shall be construed to amend, supplement or otherwise modify any of the terms and conditions set forth in any customer agreement between you and Carbon Collective.